

UNCLASSIFIED

AD NUMBER

ADB006695

LIMITATION CHANGES

TO:

Approved for public release; distribution is unlimited.

FROM:

Distribution authorized to U.S. Gov't. agencies only; Proprietary Information; 06 JUN 1975. Other requests shall be referred to Army Command and General Staff College, Attn: ATSW-DD, Fort Leavenworth, KS 66027.

AUTHORITY

ODDR&E ltr, 20 Jan 1976

THIS PAGE IS UNCLASSIFIED

THIS REPORT HAS BEEN DELIMITED  
AND CLEARED FOR PUBLIC RELEASE  
UNDER DOD DIRECTIVE 5200.20 AND  
NO RESTRICTIONS ARE IMPOSED UPON  
ITS USE AND DISCLOSURE.

DISTRIBUTION STATEMENT A

APPROVED FOR PUBLIC RELEASE;  
DISTRIBUTION UNLIMITED.

L

Tactical Nuclear Weapons in Europe: Strategy and Future Trends

ADB006695

Roger F.X. Carney, MAJ, OD, USA, Nelson E. Modrall, MAJ, MI, USA,  
and Peter J. VonGeyso, MAJ, FA, FRG  
U.S. Army Command and General Staff College  
Fort Leavenworth, Kansas 66027

6 June 1975

Final Report - 6 June 1975

Distribution limited to U.S. Government agencies only; Proprietary Information; 6 June 1975. Other requests for this document must be referred to U.S. Army Command and General Staff College, Fort Leavenworth, Kansas 66027.

Prepared in partial fulfillment of graduation requirements for:

U.S. Army Command and General Staff College, Fort Leavenworth, Kansas  
66027



Unclassified

SECURITY CLASSIFICATION OF THIS PAGE (When Data Entered)

REPORT DOCUMENTATION PAGE		READ INSTRUCTIONS BEFORE COMPLETING FORM
1. REPORT NUMBER	2. GOVT ACCESSION NO.	3. RECIPIENT'S CATALOG NUMBER
4. TITLE (and Subtitle) Tactical Nuclear Weapons in Europe: Strategy and Future Trends		5. TYPE OF REPORT & PERIOD COVERED Final Report 6 June 1975
7. AUTHOR(s) Roger F.X. Carney, MAJ, OD, USA Nelson E. Modrall, MAJ, MI, USA Peter J. VonGeyso, MAJ, FA, FRG		6. PERFORMING ORG. REPORT NUMBER
9. PERFORMING ORGANIZATION NAME AND ADDRESS Student(s) at the U.S. Army Command and General Staff College during Academic Year 1974-75.		8. CONTRACT OR GRANT NUMBER(s)
11. CONTROLLING OFFICE NAME AND ADDRESS U.S. Army Command and General Staff College ATTN: ATSW-DD Fort Leavenworth, Kansas 66027		10. PROGRAM ELEMENT, PROJECT, TASK AREA & WORK UNIT NUMBERS
14. MONITORING AGENCY NAME & ADDRESS (if different from Controlling Office)		12. REPORT DATE 6 June 1975
		13. NUMBER OF PAGES 9 pages
		15. SECURITY CLASS. (of this report) Unclassified
		15a. DECLASSIFICATION/DOWNGRADING SCHEDULE
16. DISTRIBUTION STATEMENT (of this Report) Distribution limited to U.S. Government agencies only; Proprietary Information; 6 June 1975. Other requests for this document must be referred to U.S. Army Command and General Staff College, Fort Leavenworth, Kansas 66027.		
17. DISTRIBUTION STATEMENT (of the abstract entered in Block 20, if different from Report)		
18. SUPPLEMENTARY NOTES This study was prepared by a student(s) in partial fulfillment of graduation requirements for the U.S. Army Command and General Staff College.		
19. KEY WORDS (Continue on reverse side if necessary and identify by block number)		
20. ABSTRACT (Continue on reverse side if necessary and identify by block number) See Reverse Side		

DD FORM 1 JAN 73 1473

EDITION OF 1 NOV 65 IS OBSOLETE

Unclassified

SECURITY CLASSIFICATION OF THIS PAGE (When Data Entered)



Unclassified

SECURITY CLASSIFICATION OF THIS PAGE(When Data Entered)

The purpose of the study was to determine the future principles of employment of tactical nuclear weapons (TNW) in the NATO Central Region and the manner in which they should be integrated into the overall force structure.

This study concentrates on the command and control and stockpiling aspects of this very difficult and politically sensitive problem. The four models offered are: (1) mixed stockpile of U.S. and non-U.S. tactical nuclear weapons; (2) stockpile solely in the custody of U.S. forces positioned in the Central Region (current situation); (3) non-U.S. tactical nuclear weapon stockpile on the Central Region; and (4) U.S. only TNW stockpile positioned outside the Central Region. Although conceding that alternative number 2 is the only realistic solution for the present situation, this study concludes that alternative number one will become increasingly attractive in the future, particularly with the continued trend toward the political unification of Western Europe.

Unclassified

SECURITY CLASSIFICATION OF THIS PAGE(When Data Entered)

TACTICAL NUCLEAR WEAPONS IN EUROPE  
STRATEGY AND FUTURE TRENDS

A RESEARCH PAPER SUBMITTED TO  
THE DEPARTMENT OF STRATEGY  
IN FULFILLMENT OF THE COURSE REQUIREMENTS  
OF THE NATO STUDY EFFORT

BY

MAJOR ROGER F.X. CARMY  
MAJOR NELSON E. MODRALL  
MAJOR PETER J. VON GEYSO

MAY 1975

## I. STATEMENT OF PROBLEM

To determine:

- The future principles of employment of tactical nuclear weapons (TNW) in the Central Region in order to make use of them more appropriate to the political goals of the United States and a unified Europe and make flexible response more efficient and credible.
- the manner in which they should be integrated into the overall force structure.

## II. ASSUMPTIONS

- A. Tactical nuclear weapons are currently one component of the concept of realistic deterrence.
- B. NATO will maintain the concept of forward defense.
- C. The strategic nuclear stalemate between the superpowers will continue.
- D. Today, NATO conventional forces are not sufficient to contain an all-out conventional attack by the Warsaw Pact in the Central Region.
- E. A U.S. military presence will continue in Western Europe. Its size and composition may change in the near future.
- F. There is a continuous trend toward the political unification of Western Europe.

## III. DEFINITIONS

- A. Tactical Nuclear Weapon (TNW) - a nuclear weapon whose employment is limited to the Combat Zone.
- B. Quick Reaction Alert (QRA) - an alert posture assumed by selected missile firing units (Pershing) and interdiction aircraft with "unloaded" weapons, programed for predetermined targets in a counter-surprise strike. Their range capability exceeds that of the combat zone.
- C. Field Storage Location (FSL) - NATO term describing a nuclear weapon storage location other than a permanently constructed, peacetime special ammunition storage (SAS) site. It normally is used in connection with deployment of nuclear weapons just prior to the outbreak of hostilities, or thereafter.
- D. NATO Triad - components of flexible response, i.e. conventional forces, TNW, and strategic weapons.



#### IV. DISCUSSION

##### A. Facts and constraints:

###### 1. Political -

- a. With strategic parity and the Warsaw Pact's conventional strength advantage over NATO, TNW are assuming an increasingly significant role within the Triad of Flexible Response.
- b. The Nuclear Non-Proliferation Treaty limits weapons ownership to the United States and the United Kingdom within NATO. Also, West Germany has renounced nuclear weapons, yet wants to retain influence in the employment of these weapons in NATO.
- c. Before unification of Western Europe occurs, a European TNW capability is unlikely to be created due to current political conditions.
- d. At the present time, it is in the interest of Western Europe that a U.S. conventional and TNW presence is maintained, and the U.S. provide a strategic nuclear umbrella.
- e. There are several national European views that have to be considered in TNW employment, e.g. ADMs, high yields, etc.
- f. It is in the interest of the U.S. to avoid the "trip wire" effect, i.e. the automatic escalation from conventional to TNW or strategic weapons.
- g. The cost of stockpile modernization will be considerable.

###### 2. Military/Strategic -

- a. TNW capacity is still primarily a U.S. near-monopoly in Western Europe. The existing capacity of France and the United Kingdom is of minor significance. Yet, it is a force we may count on.
- b. Part of our present TNW stockpile can be perceived by the Warsaw Pact as being a first strike, strategic threat. It could encourage a preemptive strategy on their part.
- c. Current command-and-control and release procedures are cumbersome and therefore have a negative influence on the credibility of our deterrence.



3. Tactical -

- a. There is a significant shortfall in the compatibility of our current TFW stockpile mix and its employment doctrine.
- b. There has been a trend in the last three years to reduce the number of TFW storage locations and consolidate them at fewer sites.
- c. The psychological environment in Western Society puts NATO at a disadvantage in nuclear warfare.
- d. Training for the nuclear battlefield is deficient in the Alliance. There are indicators that the Warsaw Pact has an edge on the West in this regard.

4. Technical -

*dirty weapons  
create obstacles*

- a. The size of our current TFW stockpile is possibly excessive. It is composed of "dirty" weapons systems whose technology represents the state-of-the-art of the 1950's and early 1960's with few exceptions.
- b. A quantum jump in weapons technology will be available in the near future. Tailored effects, exotic kill mechanisms, precision guidance and fusing, more dependable and sophisticated PAL equipment and weapon destruction and denial devices are some of the weapons improvements on the horizon.

B. PRINCIPLES

1. Flexibility -

- a. Policy for the use of TFW in Europe, regardless of who owns the weapons, will require mutual agreement of all parties, to include the U.S.
- b. Policy for the use of TFW should be flexible, providing for both a "coupled" and an "un-coupled" response of U.S. and/or Western European TFW, i.e. a response involving eventual escalation to use of strategic weapons or one in which such eventual use is precluded.
- c. Within the TFW element of the Triad, there must be an ability to tailor the intensity of use, i.e. quantity, ranges and yields of the weapons used.

- d. The First Use option regarding TNW should be retained.
- e. Flexibility in targeting has to be greatly enhanced by modernizing the TNW stockpile, incorporating such features as precision delivery and low collateral damage effects.
- 2. Effectiveness and Simplicity of Control -
  - a. In the event of conventional hostilities, a friendly TNW capability-in-being is a strong deterrent to the use of nuclear weapons by the Warsaw Pact.
  - b. War strategy should attempt to achieve minimum collateral damage, especially since the initial targets will be on or near friendly soil.
  - c. Weapons technology and employment doctrine must be compatible at any point in time.
  - d. To achieve optimum effectiveness, there must be developed more efficient release procedures and command-and-control techniques.
- 3. Security -
  - a. The size of the optimal TNW stockpile must be driven by the requirements of NATO's overall strategy and tactical doctrine, while providing for the necessary degree of security for the weapons.
  - b. To decrease the vulnerability of TNW, optimal dispersion and mobility must be provided.

### C. ALTERNATIVES

In the following section, there are discussed four different models for the future development and use of tactical nuclear forces in the European theater. They are emphasizing the organizational aspect of the problem since under this view, the whole spectrum of political, strategic and technical issues can be covered.

- 1. Mixed stockpile of U.S. and non-U.S. tactical nuclear weapons:
  - a. Advantages - This option provides for an optimal flexibility with regard to the employment of TNW in Europe. They could be used closely integrated as well as separately, thus allowing for both a total commitment of the whole Alliance

Valid assumption?

to the European Theater, or limiting the escalation of a European war to the use of the European nuclear component. Assuming that France will rejoin the military part of NATO in the near future, it also allows for a unified control over these weapons systems, thereby increasing the credibility of deterrence.

- b. Disadvantages - Between the U.S. and Western Europe in the development of doctrines for the employment and the procedures for the release of TNW, a close cooperation is required. Since these regulations have to provide for both possibilities, i.e. the combined as well as the separate employment of U.S. and Western European TNW, they will be complicated and difficult to develop.

2. A stockpile solely in the custody of U.S. Forces positioned in the Central Region (the current situation):

- a. Advantages - This alternative is in keeping with the spirit and intent of the policy regarding nuclear weapon non-proliferation. European NATO members would continue to receive the benefit of not having to expend the huge sums of money and resources needed to maintain and continually modernize a TNW arsenal. To the American view, this alternative maintains the ultimate control of the TNW stockpile in U.S. hands.
- b. Disadvantages - Objections of some political elements in the U.S. to continued large U.S. Forces in Europe may eventually impact on this element of that presence. Growing concern with the peacetime terrorist threat to storage sites in Europe may increase pressures to return the TNW stockpile to CONUS. This alternative also represents an aspect of "over-commitment" and burden-sharing imbalance to the disadvantage of the U.S. Unanimity on decision-making regarding the use of these weapons is more difficult to achieve under this alternative.

3. A non-U.S. tactical nuclear weapon stockpile provided in the



Central Region:

- a. Advantages - Both the U.S. as well as the Western European countries could pursue their own interests. The United States could avoid a "tripwire effect" and the Western Europeans would have more freedom of action in using TNW as complementary weapons to their conventional forces. This could provide for a "geographical firebreak" before escalating to the strategic level.
  - b. Disadvantages - Assuming that there is no automatic identity of U.S. and West European interests in the conduct of war in the European Theater, this option might have the effect that the U.S. "decouple" from the European nuclear weapon capability, resulting in the denial of the "strategic nuclear umbrella". Thus, this option weakens the credibility of the U.S. commitment to Europe and the deterrence of the whole Alliance.
4. A U.S.-only TNW stockpile positioned outside of the Central Region, i.e. as far back as CONUS or perhaps as far forward, if possible, as U.K.:
- a. Advantages - Eliminates the possibility of early compromise, theft, and/or destruction of TNW by penetrating enemy ground forces and air forces while enhancing control and security over the systems prior to nuclear release. Reduces probability of preemptive strike by the Warsaw Pact to take out longer ranged TNW.
  - b. Disadvantages - Significantly reduces credibility that TNW will be used in the early stages of any conflict, thereby weakening TNW value as a deterrent. TNW introduction into the theater after initiation of hostilities would be politically and militarily extremely difficult.

V. CONCLUSIONS AND RECOMMENDATIONS

- A. Alternative Nr. 2 has to be the only realistic one for today since the political conditions for a European TNW force do not presently exist. However, with the eventual realization of

Politically  
feasible?

Assumption F (see para II), Alternative Nr. 1 appears most desirable from the NATO point of view, because:

1. It best satisfies the principle of flexibility (see para IV.B.1) which is given the highest priority by the study group.
2. It best takes into consideration the present situation within the Alliance as well as political trends in Western Europe.

It is recognized, however, that this alternative is feasible only if the European portion of the stockpile is developed in close cooperation with the United States, and after a political unification of Western Europe.

B. Finally, some key recommendations for the implementation of the principles mentioned above:

1. Renewed effort to improve the command and control systems and release procedures is absolutely essential in order to optimize the TNW element in the deterrence equation.
2. New TNW systems incorporating the latest technology should replace the current stockpile as soon as possible.
3. The number of TNW storage locations should not be further reduced. Any deficiencies in these sites regarding facilities and security should be improved to necessary standards as soon as possible.
4. The size of the TNW stockpile in Europe should be decreased and tailored to the policy of employment. This will also reduce or eliminate the current mobility shortfall.
5. NATO should unilaterally eliminate QRA weapons systems as destabilizing, since they can be perceived as a strategic threat to the Warsaw Pact.
6. Considering the probability of the future development of a European nuclear force, plans have to be prepared integrating these forces into the TNW stockpile within the European Theater as well as into a new doctrine for their employment.

*Question feasibility of number of alternatives.  
Other aspects of employment. - problem  
statement broader than discussion.*

1. Baldwin, Hanson W., Strategy for Tomorrow, New York, Harper and Row, Publishers Inc., 1970, pp. 1-335.
2. Brodie, Bernard, How Not to Lead An Alliance, in American Defense Policy, ed. by Mark E. Smith III and Claude J. Johns, Jr., Baltimore, Maryland, John Hopkins Press, 1968.
3. Brown, Dallas G. Jr., LTC, USA., "Conventional Warfare in Europe — Soviet View," Military Review, 2, Feb. 1974.
4. Burns, Arthur Lee, Ethics And Deterrence: A Nuclear Balance Without Hostage Cities, (Adelphi Paper #69) London, The Institute for Strategic Studies, 1970, pp. 1-27
5. Canby, Steven L., Damping Nuclear Counterforce Incentives: Correcting NATO's Inferiority In Conventional Military Strength, Santa Monica, California, Arms Control and Foreign Policy Seminar, 1974.
6. Davidson, C.N., "Tactical Nuclear Defense — The West German View," Parameters, Vol. IV, No. 1, 1974, pp. 47-57.
7. Dials, George E., CPT., "Alliance in Transition: Dispelling the Illusions," Army, Feb. 1975.
8. \_\_\_\_\_ and D. Larsen, "NATO: Two Views," Army, Feb. 1975, pp. 10-19.
9. Dodd, Norman L., "Toward Nuclear Self-Sufficiency in Europe," Army, Mar. 1975, pp. 40-44.
10. Gormley, Dennis M., "NATO's Tactical Nuclear Option: Past, Present and Future," Military Review, Sep. 1973, pp. 3-18.
11. Gray, Colin S., "The Nuclear Connection," Military Review, 9, Sep. 1974.
12. The International Institute for Strategic Studies, The Military Balance 1973-1974, London, 1973
13. Kahn, Herman, The Nature And Feasibility of War and Deterrence, Santa Monica, Calif., The Rand Corporation, 1960.
14. Kemp, Geoffrey, Nuclear Forces for Medium Powers, (Adelphi Paper #107) London, The Institute for Strategic Studies, 1974.
15. Kissinger, Henry, Coalition Diplomacy in a Nuclear Age, in American Defense Policy, ed. by Mark E. Smith III and Claude J. Johns, Jr., Baltimore, Md., John Hopkins Press, 1968.
16. Komer, R.W., "Treating NATO's Self-inflicted Wound," Military Review, 8, Aug. 1974.
17. Lowenstein, James G., and Richard M. Moose, U.S. Security Issues in Europe: Burden Sharing and Offset, MBFR and Nuclear Weapons, (A Staff report prepared for the use by the Subcommittee on U.S. Security Agreements and Commitments Abroad of the Committee on Foreign Relations, U.S. Senate, Sep. 1973.) 2 Dec. 1973.



18. Martin, L. Tactical Nuclear Weapons in Arms and Strategy, David McKay Company, Inc., 1973.
19. Molineau, Harold. "Stability in a Multipolar Nuclear World," Military Review, 5, May 1974.
20. The National Strategy Information Center, Inc. Two Perspectives on Soviet Foreign Policy, in The Military Unbalance, New York, 1971.
21. \_\_\_\_\_, The Shifting Balance of Military Power, in The Military Unbalance, New York, 1971.
22. Newhouse, John, with Melvin Croan, Edward R. Fried, and Timothy W. Stanley, U.S. Troops in Europe: Issues, Costs, and Choices, Washington, D.C., The Brookings Institution, 1971, pp. 1-163.
23. Parker, David M., LTC, USA., "Facing the NBC Environment," Military Review, 5, May 1974.
24. Partlow, Frank A. Jr., Major, USA., "Deterrence in NATO—Military Committee Role," Military Review, 12, Dec. 1974.
25. Polk, James H., General, USA., "The Realities of Tactical Nuclear Warfare," Orbis, vol. XVII, Summer 1973, pp. 439-447.
26. Record, Jeffrey, "To Nuke or Not to Nuke: A Critique of Rationales for A Tactical Nuclear Defense of Europe," Military Review, Oct. 1974, pp. 3-29.
27. Resor, Stanley A., "MEFR Aims at Security and Stability," Commanders Digest, 20, Nov. 1974, pp. 4-8.
28. Santilli, Joseph F. Jr., LTC, USA., "NATO Strategy Updated: A First Use Policy," Military Review, Mar. 1974.
29. Schilling, Warner R., William T.R. Fox, Catherine M. Kelleher, and Donald J. Puchala, American Arms and a Changing Europe, New York and London, Columbia University Press, 1973, pp. 1-204.
30. Schultze, Charles L., "Tactical Nuclear Weapons in Europe," in Setting National Priorities—the 1972 Budget, Washington D.C., The Brookings Institute, 1971.
31. Schlesinger, James R., "NATO and Warsaw Pact Forces," Commanders Digest, 20, Nov. 1974, pp. 2-3.
32. Shepherd, James C., LTC, USA., "The Prospects of Detente," Military Review, Jul. 1974.
33. Trettner, Heinz, "Tactical Nuclear Weapons for Europe," Military Review, July 1971, pp. 43-49.